

INTERNAL AUDIT SECTION - AUDITS FINALISED 2013/14

Head of Service	Audit	Date Finalised	Opinion Rating	Recommendations		
				Made	Agreed	Not Agreed
Delivery & Information	Change Control - Corporate	15/08/13	High	0	0	0
Economic Regeneration & Planning	Rural Development Plan	31/10/13	High	4	4	0
Education Planning & Resources	Pengelli Primary School	05/07/13	High	2	2	0
Education Planning & Resources	School Kitchens	13/03/14	High	2	2	0
Financial Services	NNDR 2012/13	08/05/13	High	1	1	0
Financial Services	Cash 2012/13	02/07/13	High	4	4	0
Financial Services	Pension Fund Investments 2012/13	04/07/13	High	0	0	0
Financial Services	Council Tax 2012/13	08/07/13	High	3	3	0
Financial Services	Capital Accounting - Fixed Assets 2012/13	24/07/13	High	6	6	0
Financial Services	Housing & Council Tax Benefit 2012/13	09/10/13	High	4	4	0
Financial Services	Treasury Management - Borrowing & Investments 2013/14	17/02/14	High	2	2	0
Highways & Transportation	SWWITCH	06/11/13	High	0	0	0
Housing & Public Protection	Housing Rents 2013/14	12/02/14	High	2	2	0
Adult Services	Unified Assessment of Care	21/05/13	Substantial	3	3	0
Corporate Building & Property Services	Quadrant Rents	05/08/13	Substantial	3	3	0
Corporate Building & Property Services	Energy Management	20/11/13	Substantial	4	4	0
Corporate Building & Property Services	Control of Contracts - CBS Projects & Procurement	12/12/13	Substantial	6	6	0
Culture & Tourism	Gymnastics Development	10/04/13	Substantial	7	7	0
Culture & Tourism	Sports Development	10/04/13	Substantial	7	7	0
Culture & Tourism	Plantasia	23/04/13	Substantial	19	19	0
Culture, Sport, Leisure & Tourism	Libraries Administration	29/10/13	Substantial	9	9	0
Culture, Sport, Leisure & Tourism	Community Buildings	16/12/13	Substantial	5	5	0
Culture, Sport, Leisure & Tourism	Archives	23/12/13	Substantial	18	18	0
Culture, Sport/Leisure & Tourism	Brangwyn Hall	23/08/13	Substantial	5	5	0
Delivery and Information	File (Database) Controls	24/04/13	Substantial	2	2	0
Delivery and Information	Ffynnon Data	20/05/13	Substantial	2	2	0

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Economic Regeneration & Planning	Planning Services - Administration & Fees	30/08/13	Substantial	5	5	0
Education Effectiveness	Children & Young People Participation Unit	30/08/13	Substantial	7	7	0
Education Effectiveness	School Effectiveness	23/09/13	Substantial	7	7	0
Education Inclusion	Stepahead Education Centre	22/07/13	Substantial	10	10	0
Education Inclusion	Arfryn Education Centre	16/12/13	Substantial	18	18	0
Education Planning & Resources	Wanarwydd Primary School	09/05/13	Substantial	4	4	0
Education Planning & Resources	Bishop Vaughan Comprehensive School	10/05/13	Substantial	8	8	0
Education Planning & Resources	Pennard Primary School	13/05/13	Substantial	8	8	0
Education Planning & Resources	Plasmarl Primary School	19/06/13	Substantial	7	7	0
Education Planning & Resources	Pentre'r Graig Primary School	21/06/13	Substantial	17	17	0
Education Planning & Resources	YG Gwyr	04/09/13	Substantial	12	12	0
Education Planning & Resources	Parklands Primary School	16/09/13	Substantial	7	7	0
Education Planning & Resources	Morrison Primary School	11/11/13	Substantial	11	11	0
Education Planning & Resources	St Illtyd's Primary School	12/11/13	Substantial	3	3	0
Education Planning & Resources	Ysgol Pen-y-Bryn	18/11/13	Substantial	9	9	0
Education Planning & Resources	YGG Gellionen	18/11/13	Substantial	10	10	0
Education Planning & Resources	Pentrefod Comprehensive School	19/11/13	Substantial	14	14	0
Education Planning & Resources	Whitestone Primary School	16/12/13	Substantial	12	12	0
Education Planning & Resources	Morrison Comprehensive School	08/01/14	Substantial	10	10	0
Education Planning & Resources	Ysgol Gymraeg Y Cwm	16/01/14	Substantial	5	5	0
Education Planning & Resources	Pentrechwyth Primary School	28/01/14	Substantial	6	6	0
Education Planning & Resources	Tre Uchaf Primary School	12/02/14	Substantial	8	8	0
Education Planning & Resources	YGG Llwynderw	21/02/14	Substantial	10	10	0
Education Planning & Resources	Blaenymaes Primary School	25/02/14	Substantial	11	11	0
Education Planning & Resources	Ynystawe Primary School	03/03/14	Substantial	6	6	0
Education Planning & Resources	Casllwchwr Primary School	10/03/14	Substantial	6	6	0
Education Planning & Resources	Craigfelen Primary School	31/03/14	Substantial	7	7	0
Financial Services	Cashiers Office	02/07/13	Substantial	6	6	0
Financial Services	Accounts Payable 2012/13	09/07/13	Substantial	8	8	0

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Highways & Transportation	Swansea Marina	29/07/13	Substantial	9	9	0
Highways & Transportation	Swansea City Bus Station	09/09/13	Substantial	8	7	1
Housing & Public Protection	Penlan District Housing Office	25/07/13	Substantial	12	11	1
Housing & Public Protection	Licensing Division	09/09/13	Substantial	7	7	0
Housing & Public Protection	Town Centre District Housing Office	25/11/13	Substantial	5	5	0
Housing & Public Protection	Furniture Store - Tenancy Scheme	03/12/13	Substantial	12	12	0
Human Resources	CRB / DBS	10/04/13	Substantial	7	7	0
Human Resources & Organisational Development	Pensions Administration 2013/14	21/02/14	Substantial	6	6	0
Legal, Democratic Services and Procurement	Purchasing Cards	18/04/13	Substantial	8	8	0
Public Protection	Pollution Control	09/05/13	Substantial	5	5	0
Social Services - Directorate Services	Social Services - Use of Taxis	08/10/13	Substantial	8	8	0
Communication & Consultation	E-Commerce	31/10/13	Moderate	10	10	0
Delivery & Information	ICS Administration	07/08/13	Moderate	15	15	0
Delivery & Information	Change Control - ISiS	22/08/13	Moderate	4	4	0
Education Inclusion	Key Stage 4 Education Centre	12/11/13	Moderate	19	18	1
Education Planning & Resources	Bishop Gore Comprehensive School	30/04/13	Moderate	8	8	0
Education Planning & Resources	Llangyfelach Primary School	06/12/13	Moderate	11	11	0
Education Planning & Resources	YGG Tirdeunaw	03/03/14	Moderate	8	8	0
Financial Services	Accounts Receivable 2012/13	19/07/13	Moderate	17	17	0
Human Resources & Organisational Development	Payroll 2012/13	08/07/13	Moderate	17	17	0
Adult Services	Community Alarms Service	07/11/13	Limited	15	15	0
Delivery and Information	Procurement of Telephones	01/05/13	Limited	4	4	0
Total				587	584	3